# Topological *K*-theory for discrete groups and index theory

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Group in Action –In honor of Michèle Vergne's 80th birthday 7 September, 2023

## Outline

This talk is about index theory in the context of noncommutative geometry.

- Higher index theory
- ► Topological K-theory of a group and index formulas

Reference:

- Coauthors: Paulo Carrillo-Rouse (Toulouse) Bai-Ling Wang (Australian National University)
- ► Topological K-theory for discrete groups and index theory Bull. Sci. math. 2023.

## 1. Higher index theory

## Index theory in different contexts

• An elliptic operator D on a closed manifold is Fredholm, and

 $\operatorname{ind} D := \operatorname{dim} \operatorname{ker} D - \operatorname{dim} \operatorname{coker} D \in \mathbb{Z};$ 

► If a compact group G acts on the manifold and D is G-invariant, then it has the equivariant index

$$\operatorname{ind}_{G} D := [\ker D] - [\operatorname{coker} D] \in R(G);$$

► If D is a Dirac type operator twisted by connections from the moduli space T of flat U(1)-connections, then there is a family index

$$\operatorname{ind} \{D_{\nabla}\}_{\mathcal{T}} := "[\{\ker D_{\nabla}\}] - [\{\operatorname{coker} D_{\nabla}\}]" \in \mathsf{K}^0(\mathcal{T}).$$

Here  $T = H^1(M, \mathbb{R})/H^1(M, \mathbb{Z})$ .

# Higher index

Let

- ► *M* be a complete Riemannian manifold and
- $\Gamma$  a group acting on *M* properly with  $M/\Gamma$  compact.

Then a  $\Gamma$ -invariant elliptic operator D on M has a higher index

 $\operatorname{ind}_{G} D \in K_{*}(C_{r}^{*}(\Gamma)),$ 

so that when

Γ is trivial,

 $\operatorname{ind}_{\Gamma} D \in \mathbb{Z}$  is the Fredholm index;

Γ is compact,

 $\operatorname{ind}_{\Gamma} D \in R(\Gamma)$  is the equivariant index;

►  $\Gamma = \mathbb{Z}^n$ ,

 $\operatorname{ind}_{\Gamma} D \in K^{0}(\widehat{\Gamma})$  is the family index.

#### Group $C^*$ -algebras and K-theory

Let  $\Gamma$  be a locally compact group. The reduced group  $C^*$ -algebra  $C^*_r(\Gamma)$  is the norm closure of the image for

$$C_c(\Gamma) o \mathcal{B}(L^2(\Gamma)) \qquad f \mapsto [g o f * g].$$

Its *K*-theory are groups made out of equivalence classes of projections or unitaries of the matrix algebra over  $C_r^*(\Gamma)$ . If

Γ is trivial, then

$$C^*_r(\{e\}) = \mathbb{C}$$
 &  $K_0(\mathbb{C}) \simeq \mathbb{Z};$ 

► Γ is compact, then

$$C^*_r(\Gamma) \cong \bigoplus_{\pi \in \widehat{\Gamma}} M_{n_\pi}(\mathbb{C}) \quad \& \quad K_0(C^*_r(\Gamma)) \simeq \bigoplus_{\pi \in \widehat{\Gamma}} \mathbb{Z} \cong R(\Gamma);$$

•  $\Gamma = \mathbb{Z}^n$ , then

 $C_r^*(\Gamma) \cong C(\widehat{\Gamma})$  by Fourier transform &  $\mathcal{K}_0(C_r^*(\Gamma)) \simeq \mathcal{K}^0(\widehat{\Gamma}).$ 

# Why higher index?

1. Dirac operator approach in the obstruction of positive scalar curvature metric.

Theorem (Schoen-Yau, Gromov-Lawson)

The *n* torus does not admit a metric with positive scalar curvature. Suppose  $T_n^n$  has metric with positive scalar curvature. Then by the

Suppose  $T^n$  has metric with positive scalar curvature. Then by the Lichnerowich theorem  $D^2 = \Delta + \frac{\kappa}{4}$ ,

D is invertible, and then  $\operatorname{ind}_{\mathbb{Z}^n} \widetilde{D} = 0$ .

However, it can be verified that

 $\operatorname{ind}_{\mathbb{Z}^n} \widetilde{D} \neq 0.$ 

2. Representation theory of connected reductive groups and their geometric construction through Connes-Kasparov isomorphism, following Borel-Weil-Bott, Parthasarathy, Atiyah-Schmid, ....

# Baum-Connes conjecture

Question:

Does every element of K<sub>\*</sub>(C<sup>\*</sup><sub>r</sub>(Γ)) come from the index of some Γ-invariant elliptic operator?

In 1982, Baum-Connes proposed an algorithm of computing the K-theory, by introducing the topological group of  $\Gamma$ :

## $K^*_{top}(\Gamma)$

and formulating the assembly map, or the higher index map

$$\mu: \mathsf{K}^*_{top}(\Gamma) \to \mathsf{K}_*(\mathcal{C}^*_r(\Gamma)).$$

The Baum-Connes conjecture claims that  $\mu$  is an isomorphism. The conjecture is important in noncommutative geometry because

- $K_*(C_r^*(\Gamma))$  is hard to compute in general;
- ► Applications in geometry, topology and representation theory.

Up-to-date description of  $K^*_{top}(\Gamma)$ 

Baum-Connes-Higson reformulated the Baum-Connes assembly map using "analytic *K*-homology":

 $\mu: K_*^{\Gamma}(\underline{E}\Gamma) \to K_*(C_r^*(\Gamma)).$ 

where  $\underline{E}\Gamma$  is universal space of proper actions by  $\Gamma$  in the sense that for any  $\Gamma$ -proper cocompact manifold X, there exists a  $\Gamma$ -equivariant continuous map

$$f: X \to \underline{E}\Gamma.$$

About *K*-homology:

- Atiyah formulated analytic K-homology for a closed manifold M as dual theory of K-theory using elliptic operators;
- ► *K*-homology was generalized to Kasparov's *KK*-theory using abstract elliptic operators.

# 2. Topological *K*-theory for a discrete group

#### Overview

The topological K-theory for a discrete group  $\Gamma$ 

- ► is defined following pushforward maps between Γ proper spaces;
- admits a Chern character map as a result of Riemann-Roch theorem for Γ proper spaces;
- contains and assembles all index pairing information for F proper actions.

The group  $K^*_{top}(\Gamma)$  represents the "computable part" of the *K*-theory of  $C^*_r(\Gamma)$ .

## Pushforward map / wrong way functoriality

If  $f : X \to Y$  is a continuous function between compact topological spaces, then there is a functorial map on K-theory:

$$f^*: \mathcal{K}^0(Y) \to \mathcal{K}^0(X) \quad [E] \mapsto [f^*E].$$

There is a pushforward map, or wrong way functorial map

$$f_!: K^*(X) \to K^*(Y),$$

which is specialized to

- ► Thom isomorphism i<sub>1</sub> : K<sup>\*</sup>(X) → K<sup>\*</sup>(E) associated to i : X → E where E → X is a complex (spin<sup>c</sup> in general) vector bundle.
- Index map f<sub>!</sub>: K<sup>0</sup>(X) → K<sup>0</sup>(pt) associated to f : X → pt where X admits a spin<sup>c</sup> structure.

Let  $\Gamma$  be a discrete group. The pushforward map can also be defined to nice  $\Gamma$ -equivariant maps  $f : M \to N$ :

$$f_!: K^*_{\Gamma}(M) \to K^*_{\Gamma}(N)$$

where

- M, N are  $\Gamma$ -proper cocompact manifolds,
- $x \in K^*_{\Gamma}(M) := K_*(C^*_r(M \rtimes \Gamma)).$

Key construction: Deformation to the normal cone

Let  $f: M \to N$  be a  $\Gamma$ -equivariant map.

►  $TM \oplus f^*TN$  is identified with the normal bundle of the inclusion

$$M \xrightarrow{\Delta \times f} M \times M \times N \qquad x \mapsto (x, x, f(x)).$$

There is a deformation groupoid

$$D_f: TM \oplus f^*TN \bigsqcup (M \times M \times N) \times (0,1] \rightrightarrows f^*TN \bigsqcup (M \times N) \times (0,1]$$

Example

Connes' tangent groupoid

$$\mathcal{T} = TM \times \{0\} \bigsqcup M \times M \times (0,1]$$

associated to the map  $M \to \{pt\}$ .

## Pushforward map

Denote

$$T_f := TM \oplus f^*TN \to M$$

with dim  $r_f$  and assume  $T_f^*$  has a spin<sup>*c*</sup>-structure, i.e., *f* is *K*-oriented.

 $C^*(D_f)$  has evaluations at end points

$$ev_0: C^*(D_f) o C^*(T_f)$$
  
 $ev_1: C^*(D_f) o C^*(M imes M imes N).$ 

Since ker  $ev_0$  is contractible,  $ev_0$  induces an isomorphism on K-theory.

The pushforward map  $f_{!}: \mathcal{K}_{\Gamma}^{*-r_{f}}(M) \to \mathcal{K}_{\Gamma}^{*}(N)$  is defined by the compositions:

$$\begin{split} \mathcal{K}_{\Gamma}^{*-r_{f}}(M) \stackrel{Th}{\longrightarrow} \mathcal{K}_{\Gamma}^{*}(\mathcal{T}_{f}^{*}) \stackrel{F}{\longrightarrow} \mathcal{K}_{\Gamma}^{*}(\mathcal{T}_{f}) \stackrel{(e_{0,*})^{-1}}{\longrightarrow} \mathcal{K}_{\Gamma}^{*}(D_{f}) \\ \stackrel{\underline{e_{1,*}}}{\longrightarrow} \mathcal{K}_{\Gamma}^{*}(M \times M \times N) \stackrel{M}{\longrightarrow} \mathcal{K}_{\Gamma}^{*}(N). \end{split}$$

#### Example: pushforward as the analytic index

Let  $f: M \rightarrow pt$  where M is spin<sup>c</sup>, the the pushforward map

$$f_!: K^{*-r}(M) \to K^*(pt)$$

is given by

$$\mathcal{K}^{*-r}(M) o \mathcal{K}^{*}(T^{*}M) o \mathcal{K}_{*}(\mathcal{C}_{0}(T^{*}M)) o$$
  
 $\mathcal{K}_{*}(\mathcal{C}_{r}^{*}(TM)) \stackrel{(e_{0,*})^{-1}}{\longrightarrow} \mathcal{K}_{*}(\mathcal{C}_{r}^{*}(\mathcal{T})) \stackrel{e_{1,*}}{\longrightarrow} \mathcal{K}_{*}(\mathcal{C}_{r}^{*}(M imes M)) o \mathcal{K}^{*}(pt) \simeq \mathbb{Z}.$ 

This recovers the analytic index of Atiyah-Singer:

 $\mathcal{K}^0(\mathcal{T}^*M) \to \mathbb{Z}$  $[\sigma_D] \mapsto \text{ind } D.$ 

Topological K-theory for  $\Gamma$ 

The topological *K*-theory of  $\Gamma$   $K_{top}^*(\Gamma)$  consists of cycles (M, x) where

• *M* is a  $\Gamma$ -proper cocompact spin<sup>*c*</sup> manifold;

• 
$$x \in K^*_{\Gamma}(M) := K_*(C^*_r(M \rtimes \Gamma))$$

subject to relations

$$(M, x) \sim (N, f_! x).$$

where  $f_! : K^*_{\Gamma}(M) \to K^*_{\Gamma}(N)$  is induced from a  $\Gamma$ -equivariant map  $f : M \to N$  which is K-oriented, i.e.,  $TM \oplus f^*TN \to M$  is spin<sup>c</sup>. In other words,

$$\mathcal{K}^*_{top}(\Gamma) := \lim_{\stackrel{\rightarrow}{f_1}} \mathcal{K}^*_{\Gamma}(M).$$

## Assembled Chern character

Suppose a discrete group  $\Gamma$  acts properly and cocompactly on a manifold M.

For f : M → N we have a cohomological pushforward on delocalized cohomology

$$f_{!}: H^{*-r_{f}}_{\Gamma,deloc}(M) \rightarrow H^{*}_{\Gamma,deloc}(N).$$

and the delocalized cohomology for discrete groups

$$H^*_{top}(\Gamma) := \lim_{\stackrel{\rightarrow}{f_1}} H^*_{\Gamma,deloc}(M).$$

 For a discrete group Γ, our next result is the formulation of Chern character morphism

$$ch^{top}: K^*_{top}(\Gamma) \longrightarrow H^*_{top}(\Gamma)$$

due to a Grothendieck-Riemann-Roch theorem.

# Delicalized cohomology (Tu-Xu)

Let *M* be a proper  $\Gamma$ -manifold. Consider the periodic delocalized cohomology groups for  $* = 0, 1 \mod 2$ :

$$H^*_{\Gamma,deloc}(M) := \bigoplus_{g \in \langle \Gamma \rangle^{fin}} \prod_{k=*, mod \ 2} H^k_c(M_g \rtimes \Gamma_g),$$

where

- $g \in \Gamma$  is a fixed, finite order element
- $\blacktriangleright\ \langle \Gamma \rangle^{fin}$  stands for the set of conjugacy classes of finite order elements of  $\Gamma$

• 
$$M_g = \{x \in M : x \cdot g = x\}$$

• 
$$\Gamma_g = \{h \in \Gamma : hg = gh\}$$

•  $M_g \rtimes \Gamma_g$  is the action groupoid

Remark

Equivalent formulations: Brendon (co)homology, Chen-Ruan orbifold (co)homology, cyclic cohomology of crossed products.

# Grothendieck-Riemann-Roch

Let  $\Gamma$  be a discrete group.

- Let M, N be proper cocompact  $\Gamma$ -manifolds;
- ► Let  $f : M \to N$  be a  $\Gamma$ -equivariant K-oriented map, i.e.,  $TM \oplus f^*TN \to M$  is spin<sup>c</sup>. Let dim  $TM \oplus f^*TN = r$ .

Theorem (CR-W-W)

The diagram commutes:

$$\begin{array}{c|c} \mathcal{K}_{\Gamma}^{*-r}(M) \xrightarrow{f_{1}} \mathcal{K}_{\Gamma}^{*}(N) \\ ch_{\mathcal{T}d_{M}^{\Gamma}} & & \downarrow^{ch_{\mathcal{T}d_{N}^{\Gamma}}} \\ \mathcal{H}_{\Gamma,deloc}^{*-r}(M) \xrightarrow{f_{1}} \mathcal{H}_{\Gamma,deloc}^{*}(N). \end{array}$$

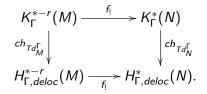
where for  $E \to M$  a  $\Gamma$ -proper spin<sup>c</sup> bundle,

$$\operatorname{ch}_{Td_{E}^{\Gamma}}: K^{*}_{\Gamma}(M) \to H^{*}_{\Gamma,deloc}(M) \qquad x \mapsto \operatorname{ch}^{\Gamma}_{M}(x) \wedge \operatorname{Td}^{\Gamma}(E)$$

is the "twisted delocalized Chern character."

## Example

The commutative diagram



reduces to the Riemann-Roch theorem when  $\Gamma$  is trivial:

$$ch(f_!(E)) \wedge \mathrm{Td}(N) = f_!(\mathrm{ch}(E) \wedge \mathrm{Td}(M)).$$

If N is in addition a point, this is

$$f_!(E) = f_!(\operatorname{ch}(E) \wedge \operatorname{Td}(M)) = \int_M \operatorname{ch}(E) \wedge \operatorname{Td}(M) = \operatorname{\mathsf{ind}} D_E.$$

#### An example of index formula

Let *M* be a closed complex (or spin<sup>*c*</sup>) manifold,  $E \to M$  be a holomorphic vector bundle, and  $\mathcal{O}(E)$  be holomorphic sections. Consider the Dolbeault complex of *E*:

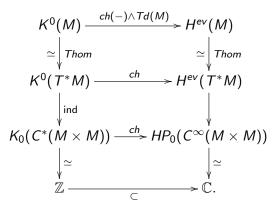
$$\overline{\partial}: 0 \to \Omega^{0,0}(E) \to \Omega^{0,1}(E) \to \cdots \to \Omega^{0,n}(E) \to 0.$$

Let

$$\chi(M,E) := \sum_{q} (-1)^q \dim H^q(M,\mathcal{O}(E)).$$

Theorem (Riemann-Roch, Atiyah-Singer)  $D := \overline{\partial} + \overline{\partial}^* : \Omega^{0,even}(E) \to \Omega^{0,odd}(E)$  is Fredholm and  $\operatorname{ind} D = \chi(M, E) = \int_M \operatorname{ch}(E) \operatorname{Td}(M).$  Idea of proof: N is a point and  $\Gamma$  is trivial

For  $f: M \to pt$  K-oriented,  $f_1: K^*(M) \to K^*(pt)$  is given by the analytic index of  $D_M$ .



When M is closed spin<sup>c</sup> and D is Dirac, commutativity of the diagram implies the Riemann-Roch theorem

ind 
$$D_E = \int_M \operatorname{ch}(E) \wedge \operatorname{Td}(M).$$

Assembled Chern character

For a discrete group  $\Gamma$  and for \*=0,1 mod 2 we define the delocalized cohomology for discrete groups

$$H^*_{top}(\Gamma) := \lim_{\stackrel{\rightarrow}{f_1}} H^*_{\Gamma,deloc}(M).$$

Theorem (CR-W-W)

For a discrete group  $\Gamma$ , there is a well-defined Chern character morphism

$$ch^{top}: K^*_{top}(\Gamma) \longrightarrow H^*_{top}(\Gamma)$$
  
 $ch^{top}([M,x]) = [M, ch^{\Gamma}_M(x) \wedge Td^{\Gamma}_M].$ 

Furthermore, it is an isomorphism once tensoring with  $\mathbb{C}$ .

Remark

Chern character on the LHS of the assembly map were previously formulated by Lück, Matthey, Voigt.

## Pairing on the LHS of the assembly map

Recall that there is an assembly map  $\mu : K_{top}^*(\Gamma) \to K_*(C_r^*(\Gamma))$ . The LHS can be explicitly calculated and assembles all index pairing information for proper cocompact actions.

Theorem (CR-W-W)

One has a cohomological assembly map

 $H^*_{top}(\Gamma) o HP_*(\mathbb{C}\Gamma)$ 

where a cohomological formula is obtained from pairing with  $\tau \in HP^*(\mathbb{C}\Gamma)$ .

According to Burghelea:

$$HP_*(\mathbb{C}\Gamma) \simeq (\prod_{\langle \Gamma \rangle^{fin}} \bigoplus_k H_k(\Gamma_g, \mathbb{C})) \bigoplus T_*(\Gamma)$$

# Index formula for proper actions

Recall that by Burghelea:

$$HP^*(\mathbb{C}\Gamma) \simeq (\prod_{\langle \Gamma \rangle^{fin}} \bigoplus_k H^k(\Gamma_g, \mathbb{C})) \bigoplus T^*(\Gamma).$$

Theorem (CR-W-W)

One has a cohomological assembly map

 $H^*_{top}(\Gamma) o HP_*(\mathbb{C}\Gamma)$ 

where a cohomological formula is obtained from pairing with  $\tau \in HP^*(\mathbb{C}\Gamma)$ .

$$\langle ch^{top}([M, E]), \tau_g \rangle = \langle ch^g_M(E) \wedge Td^M_g, \pi^*_g(\tau_g) \rangle$$

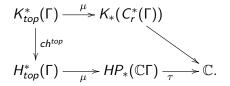
where  $\tau_g \in HP^*(\mathbb{C}\Gamma)$  is a cocycle in the g-component of the finite conjagacy class part and  $\pi_*^g$  is the composition

$$H^*(\Gamma) \to H^*(M \rtimes \Gamma) \to H^*(M^g \rtimes \Gamma_g).$$

#### Relation to the higher index

Remark

A commutative diagram of index formulas is expected



The index formula assembles all information coming from the higher index of elliptic operators for proper cocompact actions.

## Special cases

► When g = e, this index recovers the higher index formula by Connes-Moscovici,

$$\langle ch^{top}([M, E]), \alpha \rangle = \int_{M/\Gamma} ch(E/\Gamma) \wedge Td(M/\Gamma) \wedge \pi^*(\alpha), \forall [\alpha] \in H^*(\Gamma)$$

for the classifying map  $f: M/\Gamma \to B\Gamma$ .  $(M/\Gamma$  is a manifold and M is the universal cover.)

This was used to prove the Novikov conjecture for hyperbolic groups.

 $\blacktriangleright\,$  Let  $\mathrm{tr}_g:\mathbb{C}\Gamma\to\mathbb{C}$  be the delocalized trace associated to  $g\in {\mathcal G}$ 

$$\mathrm{tr}_{m{g}}(\sum_{\gamma\in \mathsf{\Gamma}}m{c}_{\gamma}\gamma):=\sum_{\gamma\in \langlem{g}
angle}m{c}_{\gamma}$$

representing an element in  $HP^0(\mathbb{C}\Gamma)$ . Then

$$\langle [M, E], \operatorname{tr}_g \rangle$$

recovers the  $L^2\mbox{-}\mbox{Lefschetz}$  fixed point formula for orbifolds by Wang-W.

#### Thanks for listening!

# Happy and Prosperous to Prof Michèle Vergne!